IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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n re Application of

Brian A. Weston et al.

Technology Center 2100

App. No.

09/476,935

Examiner: To Be Assigned

Filed

December 30, 1999

Group Art Unit: To Be Assigned

Title

SYSTEM AND METHOD FOR IMPLEMENTING FOREIGN

EXCHANGE CURRENCY FORWARDS

Assistant Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

The applicants respectfully submit herewith an Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein, pursuant to 37 C.F.R. §§ 1.97 and 1.98. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

- I. () This IDS is being filed under 37 CFR 1.97(b). Thus, no fee is due.
- () This IDS is being filed within three months of the filing date of a national application other than a continued prosecution application (CPA).
- () This IDS is being filed within three months of the date of entry of the national stage in an international application.
- (X) This IDS is being filed before the mailing date of a first Office Action on the merits.
- () This IDS is being filed before the mailing of a first Office Action after the filing of a request for continued examination (RCE).

Statement under 37 CFR 1.97(e)(1)

Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS.

The Commissioner is hereby authorized to charge the fee of \$0.00, and to charge any deficiency, or to credit any overpayment, to the undersigned attorney's Deposit Account No. 02-4270.

Dated: 15 August Zoon

Respectfully submitted,

Matthew J. Marquardt

Reg. No.: 40,997

Attorney for Applicant

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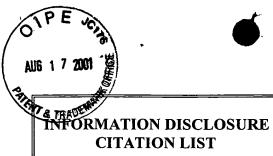
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Atty. Docket No.: 3524/5

Application Serial No.:

09/476,935

Applicants: Brian A. Weston et al.

Filing Date: December 30, 1999 **Group Art Unit: NYA**

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Examiner:	Date Considered: